

Electronic Acknowledgement Receipt

EFS ID:	5649421
Application Number:	10698140
International Application Number:	
Confirmation Number:	2938
Title of Invention:	System and method for providing financial advice for an investment portfolio
First Named Inventor/Applicant Name:	James D. Peterson
Customer Number:	20350
Filer:	Patrick Raymond Jewik/Andrea Beck
Filer Authorized By:	Patrick Raymond Jewik
Attorney Docket Number:	020425-105900US
Receipt Date:	06-JUL-2009
Filing Date:	31-OCT-2003
Time Stamp:	17:29:15
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 130
RAM confirmation Number	3970
Deposit Account	201430
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		AMD.pdf	831101 9877220711da72e38983258dc3f781dfa842abf	yes	18
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Extension of Time		1	1	
	Amendment/Req. Reconsideration-After Non-Final Reject		2	18	
Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	fee-info.pdf	30181 7129126ff39c69520803cb3c74022dc5d17f473e	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			861282		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					